SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			01.00		vestiment oon						
1. Name and Address of Reporting Person* MOORE CECIL H JR				uer Name and Ticke INDUSTRIE	U	,	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
MOUKE C	<u>ECIL II JK</u>				L	1	X	Director	10% 0	Owner	
(Last) 5430 LBJ FR	(First) (Middle) BJ FREEWAY, SUITE 1700			te of Earliest Transa 1/2020	action (Month/I	Day/Year)		Officer (give title below)	Other below	(specify)	
			4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv	/idual or Joint/Grou	p Filing (Check	Applicable	
(Street)					0		Line)				
DALLAS	ТХ	75240					X	Form filed by On	e Reporting Per	rson	
		/ 3240						Form filed by Mo Person	ore than One Re	porting	
(City)	(State)	(Zip)									
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1. Title of Security (Instr. 3) 2. Transac				2A. Deemed	3. Transaction	4. Securities Acquired (/		5. Amount of	6. Ownership	7. Nature	

	Date (Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 5) 8)			sposed Of (D) (instr. 3, 4 and			(D) or Indirect	Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common stock \$0.125 par value	05/21/2020		A ⁽¹⁾		6,650	A	(1)	29,400	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Conversion Execution Date. Derivative Transaction Ownership Date of Expiration Date Amount of Derivative derivative of Indirect or Exercise Price of Derivative Security (Instr. 3) (Month/Day/Year) if any (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Securities Security (Instr. 5) Securities Form: Direct (D) Beneficial 8) Securities Acquired Beneficially Ownership (Instr. 4) Underlying Derivative Owned or Indirect Security (A) or Disposed Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported of (D) (Instr. 3, 4 . Transaction(s) (Instr. 4) and 5) Amount or Number Expiration Date of Title Code v (A) (D) Exercisable Date Shares

Explanation of Responses:

1. Shares issued for no cash consideration to directors under the NL Industries, Inc. 2012 Director Stock Plan.

Remarks:

<u>Clarence B. Brown, III,</u>

Attorney-in-fact, for Cecil H. 05/21/2020

<u>Moore, Jr.</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).